

05-24-04

# IFW  
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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Theresa M. Deggendorf ) Art Unit: 3624  
)  
Serial No.: 10/697,774 ) Examiner: Unassigned  
)  
Filed: October 30, 2003 )  
) Atty Docket No: 08898.105002  
For: Method and System for Tracking and )  
Reporting Automated Clearing House )  
Transaction Status )

TRANSMITTAL LETTER

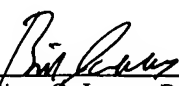
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned attorney for the applicant hereby transmits the following:

1. Petition to Make Special and to Accelerate Examination;
2. Request for Pre-First Office Action Interview;
3. Information Disclosure Statement;
4. Form PTO-1449;
5. Cited References;
6. Fee Transmittal;
7. Check for \$130.00; and,
8. Postcard.

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
Deposit Date: May 21, 2004 Express Mail Label No. EV 371442923 US

  
William O. Isaacs, Reg. No. 44,165

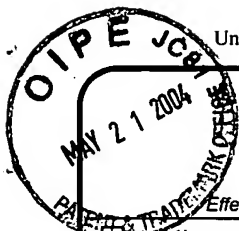
The Commissioner is authorized to charge any fees deemed necessary for consideration of the attached papers to Deposit Account No. 11-0980.

Respectfully submitted,



William O. Isaacs, II  
Reg. No. 44,165

King & Spalding LLP  
191 Peachtree Street, 45<sup>th</sup> Floor  
Atlanta, Georgia 30303  
404.572.4600



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PTO/SB/17 (10-03)  
Approved for use through 07/31/06. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## FEE TRANSMITTAL for FY 2004

Effective 01/01/03, patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**130.00**

### Complete if Known

Application Number	10/697,774
Filing Date	October 30, 2003
First Named Inventor	Theresa M. Deggendorf
Examiner Name	Unassigned
Art Unit	3624
Attorney Docket No.	08898.105002

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order

☒ Deposit Account:

Deposit  
Account  
Number  
**11-0980**

Deposit  
Account  
Name  
**King & Spalding LLP**

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s)  
☐ Charge fee(s) indicated below, except for the filing fee to the  
above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1) (\$)					0.00

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid

Total Claims  - 20\*\* =  X  =

Independent Claims  - 3\*\* =  X  =

Multiple Dependent  =

Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)					0.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR after Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130.00
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**130.00**

### SUBMITTED BY

Name (Print/Type) William O. Isaacs, II

Signature

### Complete (if applicable)

Registration No.  
(Attorney/Agent)

44,165

Telephone

404.572.4600

Date

May 21, 2004

**WARNING: Information of this may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



*Bill Isaacs*  
William O. Isaacs, Reg. No. 44,165

FORM PTO-1449, Adopted

MAY 21 2004

**LIST OF INFORMATION DISCLOSED BY APPLICANT***(Use several sheets if necessary)*

ATTY. DOCKET NO. <b>08898.105002</b>	SERIAL NO. <b>10/697,774</b>	FILING DATE <b>October 30, 2003</b>
APPLICANT <b>Theresa M. Degendorf</b>		GROUP <b>3624</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,823,264	04/18/89	Gilbert R. Deming	364	408	05/27/86
	AB	6,076,074	06/13/00	Robert M. Cotton et al.	705	40	05/05/99
	AC	2003/0182227 A1	09/25/03	Eri Guzman	705	39	03/25/02
	AD	6,317,745	11/13/01	George F. Thomas et al.	707	100	03/15/00
	AE	5,717,868	02/10/98	David L. James	395	235	03/07/95
	AF	6,246,999	06/12/01	James F. Riley et al.	705	30	06/19/98
	AG	6,026,379	02/15/00	Daniel R. Haller et al.	705	34	06/17/96
	AH	6,141,651	10/31/00	James F. Riley et al.	705	35	06/19/98
	AI	6,173,272	01/09/01	George F. Thomas et al.	705	42	04/27/98
	AJ	4,727,243	02/23/88	Eugene Savar	235	379	10/24/84

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
	AK					

**OTHER REFERENCES** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AL	Fedline User Guide, ACH, Version 2.4, March 2002, including Fedline User Guide, Host Communications, Version 2.4, June 1997
	AM	ACH Goes Across the Border, South Florida Banking Institute, Vicki Anderson, Retail Payments Office, Federal Reserve System, October 29, 2001
	AN	William B. Nelson, ACH News From Europe, Payments Journal, January/February 2002,
	AO	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT***(Use several sheets if necessary)*

ATTY. DOCKET NO. <b>08898.105002</b>	SERIAL NO. <b>10/697,774</b>	FILING DATE <b>October 30, 2003</b>
APPLICANT <b>Theresa M. Deggendorf</b>		GROUP <b>3624</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AP	5,848,400	12/8/98	Chang	705	35	7/01/96

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.	
AQ					

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

AR	International ACH -- Expanding the Gateway Concept Beyond Canada, Vicki Anderson, Retail Payments Office, Federal Reserve System, April 15, 2002
AS	International Direct Deposit Technical Walkthru, Prepared for IRPPO, October 9, 2002
AT	FedACH <sup>SM</sup> Product Development Discussion, Federal Reserve Financial Services, U.S. Bank, November 30, 2001
AU	International Direct Deposit Overview, Electronic Payments, EROC, Federal Reserve Bank of New York, October 9, 2002
AV	Overview of the Federal Reserve System's Check to ACH Conversion (CTAC) Product, Presented February 15, 2002
AW	Overview, Federal Reserve and Check to ACH Conversion (CTAC), Presented to SWACHA - The Electronic Payments Resource, July 31, 2002
AX	The Federal Reserve & Check-to-ACH Conversion, NACHA Electronic Check Conference, September 23-24, 2002, Terry Roth, Retail Payments Office of the Federal Reserve System
AY	2002 ACH Rules, A Complete Guide to Rules & Regulations Governing the ACH Network, National Automated Clearing House Association, Copyright 2002. Attached pages: 1) Understanding the ACH Network: An ACH Primer, pp. 1-17; 2) Operating Rules of the National Automated Clearing House Association, Article Eight, pp. 28-29; 3) Operating Guidelines of the National Automated Clearing House Association: Section II, Chapter III, pp. 40-45; and Section IV, Chapter VI, pp. 120-140; and 4) Federal Reserve Bank Uniform Operating Circular [No. 4] on Automated Clearing House Items, June 25, 2001
AZ	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.